



Illinois Wesleyan

UNIVERSITY

Appeal Questionnaire

2011-2012

2011-2012 APPEAL QUESTIONNAIRE

Name of Applicant _____ IWU ID # _____ Daytime Phone # _____
Last First Initial

Address _____
Street City State Zip

Please read the attached instructions while completing this form. All income and worksheet figures refer to 2011. 2010 IRS lines are provided as a guide to determine 2011 figures.

- | | | | |
|----------|--|-------------|--|
| _____ | 1. Number of dependent family members. | \$ _____ | 15. Parent(s)' cash, savings, and checking accounts. |
| _____ | 2. Number of dependent family members in college, including applicant but excluding parent. | \$ _____ | 16. Current net worth of Parent(s)' investments (investment value minus investment debt). |
| _____ | 3. Parent(s)' marital status. Write S-Single, D-Divorced or Separated, W-Widow, M-Married. | \$ _____ | 17. Medical expenses that were not covered by insurance in 2010. Also include the cost of insurance premiums. |
| _____ | 4. Number of exemptions to be claimed on your parent(s)' 2011 tax form. | \$ _____ | 18. Medical expenses anticipated in 2011 that will not be covered by insurance. Also include the cost of insurance premiums. |
| \$ _____ | 5. Parent(s)' estimated 2011 Adjusted Gross Income. | | 19a. Parent(s)' estimated unemployment compensation for 2011. Please remember to include as part of question #5. |
| \$ _____ | 6. Parent(s)' estimated 2011 federal income tax paid. Please see instructions. | \$ _____ | 19b. If you reported a value other than zero for 19a, is either of your parents (in your household) a dislocated worker? Please see instructions. |
| \$ _____ | 7. Father's (stepfather's) estimated 2011 wages/earnings. This figure should be a portion of your answer to question #5. | Yes No | |
| \$ _____ | 8. Mother's (stepmother's) estimated 2011 wages/earnings. This figure should be a portion of your answer to question #5. | \$ _____ | 20. Student's (and spouse's) estimated 2011 Adjusted Gross Income. |
| \$ _____ | 9. Social Security received for all family members in 2010 that was not taxed. | \$ _____ | 21. Student's (and spouse's) estimated 2011 federal income tax paid. |
| \$ _____ | 10. Additional financial information for parent (on page 2). | \$ _____ | 22. Student's (and spouse's) estimated 2011 wages, salaries, and tips. |
| \$ _____ | 11. Worksheet for Untaxed Income for parent (on page 2). | | |
| \$ _____ | 12. Anticipated support for college expenses from Non-Custodial parent. | | |
| \$ _____ | 13. Additional Financial Information for student (on page 2). | | |
| \$ _____ | 14. Worksheet for Untaxed Income for student (on page 2). | | |

Parents' (Q10)	2011 Additional Financial Information	Student's (Q13)
\$ _____	a. Education credits (Hope and Lifetime Learning tax credits) from IRS Form 1040—line 49 or 1040A—line 31.	\$ _____
\$ _____	b. Child Support paid because of divorce or separation as a result of a legal requirement. Don't include support for children in your (or your parents') household.	\$ _____
\$ _____	c. Taxable earnings from need-based employment programs, such as Federal Work-Study and need-based Employment portions of fellowships and assistantships.	\$ _____
\$ _____	d. Grant and scholarship aid reported to the IRS in the adjusted gross income. Includes AmeriCorps benefits (awards, living allowances and interest accrual payments) as well as grant and scholarship portions of fellowships and assistantships.	\$ _____
\$ _____	e. Combat pay or special combat pay. Only enter the amount that was taxable and included in the adjusted gross income. Do not enter untaxed combat pay reported on the W-2 (Box 12, Code Q).	\$ _____
\$ _____	Total for question 10	Total for question 13

Parents' (Q11)	2011 Untaxed Income	Student's (Q14)
\$ _____	a. Payments to tax-deferred pension and savings plans (paid directly or withheld from earnings), including, but not limited to, amounts reported on the W-2 forms in Boxes 12a through 12d, codes D, E, F, G, H and S.	\$ _____
\$ _____	b. IRA deductions and payments to self-employed SEP, SIMPLE, Keogh and other qualified plans from IRS Form 1040—line 28 + line 32 or 1040A—line 17.	\$ _____
\$ _____	c. Child support received for all children. Don't include foster care or adoption payments.	\$ _____
\$ _____	d. Tax exempt interest income from IRS Form 1040—line 8b or 1040A—line 8b.	\$ _____
\$ _____	e. Untaxed portions of IRA distributions from IRS Form 1040—lines (15a minus 15b) or 1040A—lines (11a minus 11b). Excludes rollovers. If negative, enter a zero here.	\$ _____
\$ _____	f. Untaxed portions of pensions from IRS Form 1040—lines (16a minus 16b) or 1040A—lines (12a minus 12b). Excludes rollovers. If negative, enter a zero here.	\$ _____
\$ _____	g. Housing, food and other living allowances paid to members of the military, clergy and others. (including cash payments and cash value of benefits).	\$ _____
\$ _____	h. Veterans noneducation benefits such as Disability, Death Pension, or Dependency & Indemnity Compensation (DIC) and/or VA Educational Work-Study allowances.	\$ _____
\$ _____	i. Other untaxed income not reported, such as workers' compensation, disability, etc. Don't include student aid, earned income credit, additional child tax credit, welfare payments, untaxed Social Security benefits, Supplemental Security Income, Workforce Investment Act educational benefits, combat pay, benefits from flexible spending arrangements (e.g., cafeteria plans), foreign income exclusion or credit for federal tax on special fuels.	\$ _____
\$ <u>XXXXXXXXXX</u>	j. Money received, or paid on your behalf (e.g., bills), not reported elsewhere on this form.	\$ _____
\$ _____	Total for question 11	Total for question 14

I (we) understand that the Financial Aid Office may verify the 2011 income in the Spring of 2012. Should this estimate appear later to be inaccurate, I (we) will submit new information in writing. I (we) also understand that financial aid awards issued on the basis of inaccurate parent/student information are subject to revision, including cancellation and back billing. I (we) affirm and attest that the information provided is true, complete, and accurate to the best of my (our) knowledge.

Signature of parent _____ Date _____

Signature of student _____ Date _____

2011-2012 APPEAL QUESTIONNAIRE

The IWU Financial Aid Application and Free Application for Federal Student Aid may not reflect your unusual financial circumstances for 2011. Listed below are special conditions which may warrant an adjustment to your financial aid proposal. Check the circumstances which apply to you and your family:

- _____ 1. A family is experiencing a reduction in income because of:
 - Unemployment
Date parent's employment ceased _____
 - Reduced wages
 - Loss of unemployment benefits
 - Death or disability
 - Dual household expenses (unusual expenses must be detailed)

- _____ 2. Parents recently Divorced Separated

- _____ 3. A family is paying legal fees (divorce, death or adoption, etc.) which are not tax deductible.

- _____ 4. A family is paying unusually high medical expenses not covered by insurance.

- _____ 5. other _____

- _____

- _____

- _____

- _____

- _____

- _____

We can analyze your appeal more realistically if you submit clear explanations and reasonable documentation. Include these six essential components:

1. Briefly explain the situation.
2. Attach documentation verifying your situation.
3. Give the actual cost of the situation.
4. Give the month and year this expense began and the month and year you expect it to end.
5. A signed copy of your and your parent(s') 2010 Federal Income Tax Return with all schedules attached if not previously submitted to us.
6. If parent(s') 2011 income will be significantly lower than 2010, give an accurate estimate of earnings from January 1, 2011-December 31, 2011 and complete both sides of the attached questionnaire.

2011-2012 APPEAL INSTRUCTIONS

Please use these instructions to complete the Appeal Questionnaire. The appeal must be signed by student and parent (for a dependent student) to be considered. The 2010 IRS lines are provided as a guide to estimate 2011 income figures.

1. Report the number of people in your household whom your parents, as defined below, will support between July 1, 2011 and June 30, 2012. If your parents have divorced or separated, answer the questions on this form about the parent you lived with most in the last 12 months. If you do not live with one parent more than the other, answer in terms of the parent who provided the most financial support during the last 12 months. If the parent that you counted has remarried, you **must** include information about your stepparent. Include your parents, yourself, and others if they reside with and receive more than half their support from your parents.
 2. Report the number of people from question #1 who will be college students between July 1, 2011 and June 30, 2012. Family members other than parents may be counted as college students only if they are enrolling (or are accepted for enrollment) for at least 6 credit hours in at least one term, or at least 12 clock hours per week. To be counted here, a college student must be working towards a degree or certificate leading to a recognized education credential at a college that is eligible to participate in any of the Federal student aid programs. **Please remember to include yourself in this count.** Do not include a parent.
 3. Indicate the current marital status of the parent(s) with whom you are presently living. If your parent, as defined above, has divorced but remarried and is currently married, please indicate married as marital status.
 4. Exemptions here should include the parent(s), children (including the applicant), and others who would qualify as a dependent according to IRS instructions.
 5. If the parent with whom you live has remarried, you must use the income of the stepparent as well as your own natural custodial parent. (IRS Form 1040-line 37; Form 1040A-line 21; Form 1040EZ-line 4). Do **not** include the income of the non-custodial parent.
 6. Make sure this amount doesn't include any FICA, self employment, or other taxes from Form 1040. **Do not** copy the amount of "Federal income tax withheld" from a W-2 Form. (IRS Form 1040-line 55; Form 1040A-line 35; Form 1040EZ-line 11).
 7. IRS Form 1040-line 7; 1040A-line 7, 1040 EZ-line 1. If you own a business or farm also add in the numbers from form 1040-lines 12 & 18.
 8. Refer to question 7 instructions.
 9. Self-explanatory.
 10. Complete Worksheet attached to application.
 11. Complete Worksheet attached to application.
 12. Non-Custodial refers to a biological parent not living in the household.
 13. Complete Worksheet attached to application.
 14. Complete Worksheet attached to application.
 15. The answer must be completed as of the day you complete the application. Do not include the value of any tax-deferred retirement/pension fund(s).
 16. Investments include real estate (other than your home), trust funds, money market funds, mutual funds, certificates of deposit, stocks, bonds, other securities, installment and land sale contracts (including mortgages held), commodities, etc. Investment value includes the market value of these investments. Do not include the value of life insurance and retirement plans (pension funds, annuities, IRAs, Keogh plans, etc.) or the value of prepaid tuition plans. Investment debt means only those debts that are related to the investments.
 17. This figure should include the paid expenses after insurance. You can include insurance premiums in this figure. Please provide a general explanation of this figure.
 18. Self-explanatory.
 - 19a. Self-explanatory.
 - 19b. In general, a person may be considered a dislocated worker if he or she is receiving unemployment benefits due to being laid off or losing a job and is unlikely to return to a previous occupation; has been laid off or received a lay-off notice from a job; was self-employed but is now unemployed due to economic conditions or natural disaster, or is a displaced homemaker. A displaced homemaker is generally a person who previously provided unpaid services to the family (e.g., a stay-at-home mom or dad), is no longer supported by the husband or wife, is unemployed and is having trouble finding or upgrading employment.
 20. IRS Form 1040-line 37; Form 1040A-line 21; Form 1040EZ-line 4.
 21. Do not copy the amount of "Federal income tax withheld" from a W-2 Form. IRS Form 1040-line 55; Form 1040A-line 35; Form 1040EZ-line 11.
 22. IRS Form 1040-line 7; 1040A-line 7, 1040 EZ-line 1.
- Please see reverse for more information about your appeal.

2011-2012 ACADEMIC YEAR REQUEST FOR REVIEW OF FAMILY CONTRIBUTION

Policy

To qualify for most financial aid programs, a family must demonstrate “financial need”. Financial need is the difference between the cost of your education and the amount you and your family are expected to contribute to your education, as determined by the Financial Aid Office from the information you supply when you apply for financial aid.

The basis of the calculation is a combination of the federal formula called “Federal Methodology” and “Institutional Methodology.” The formulas consider both the 2010 income and the assets of a family. The data needed for the analysis is collected from the Free Application for Federal Student Aid (FAFSA) and the IWU Financial Aid Application or the CSS PROFILE. The Financial Aid Office determines the accuracy and reasonableness of the data by comparing it to the tax returns. Separate contributions toward the cost of education are calculated for the student and the parents. The two combined contributions constitute the expected family contribution.

An appeal is a request for a re-evaluation of your Statement of Financial Aid Eligibility due to changes in your situation since the time you applied for financial aid. When considering your appeal, the Financial Aid Office takes into account the availability of funds, the timeliness of your original application for financial aid, the timeliness of your appeal and the nature of the change in circumstances. Appeal decisions are subject to Federal, State and University regulations.

Funding

Due to the limited availability of assistance, approved appeals may be funded with IWU, State, and/or Federal grants in addition to student loans. Regardless of circumstances, additional awards may be provided only if money is available at the time your appeal is reviewed.

How to appeal the family contribution

You may complete the enclosed application including an appeal letter which details your financial circumstances. Please also include any supporting documentation with this form and return the requested information to the IWU Financial Aid Office.